#### Lecture 3: Directory-Based Coherence

Basic operations, memory-based and cache-based directories

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Private L1 caches Shared L2 cache Bus between L1s and single L2 cache controller Snooping-based coherence between L1s



Private L1 caches Shared L2 cache, but physically distributed Scalable network Directory-based coherence between L1s



#### Private L1 caches

Shared L2 cache, but physically distributed Bus connecting the four L1s and four L2 banks Snooping-based coherence between L1s



Private L1 caches Private L2 caches Scalable network Directory-based coherence between L2s (through a separate directory)

## **Scalable Multiprocessors**



#### CC NUMA: Cache coherent non-uniform memory access

- For each block, there is a centralized "directory" that maintains the state of the block in different caches
- The directory is co-located with the corresponding memory
- Requests and replies on the interconnect are no longer seen by everyone – the directory serializes writes



## Definitions

- Home node: the node that stores memory and directory state for the cache block in question
- Dirty node: the node that has a cache copy in modified state
- Owner node: the node responsible for supplying data (usually either the home or dirty node)
- Also, exclusive node, local node, requesting node, etc.



## **Protocol Steps**



- What happens on a read miss and a write miss?
- How is information stored in a directory?

# **Directory Organizations**

- Centralized Directory: one fixed location bottleneck!
- Flat Directories: directory info is in a fixed place, determined by examining the address – can be further categorized as memory-based or cache-based
- Hierarchical Directories: the processors are organized as a logical tree structure and each parent keeps track of which of its immediate children has a copy of the block – less storage (?), more searching, can exploit locality

## Flat Memory-Based Directories

- Directory is associated with memory and stores info for all cache copies
- A presence vector stores a bit for every processor, for every memory block – the overhead is a function of memory/block size and #processors
- Reducing directory overhead:

## **Flat Memory-Based Directories**

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- Reducing directory overhead:
  - $\rightarrow$  Width: pointers (keep track of processor ids of sharers) (need overflow strategy), 2-level protocol to combine info for multiple processors
  - > Height: increase block size, track info only for blocks that are cached (note: cache size << memory size)

## **Flat Cache-Based Directories**

 The directory at the memory home node only stores a pointer to the first cached copy – the caches store pointers to the next and previous sharers (a doubly linked list)



## **Flat Cache-Based Directories**

- The directory at the memory home node only stores a pointer to the first cached copy – the caches store pointers to the next and previous sharers (a doubly linked list)
- Potentially lower storage, no bottleneck for network traffic
- Invalidates are now serialized (takes longer to acquire exclusive access), replacements must update linked list, must handle race conditions while updating list

Block size = 128 B Memory in each node = 1 GB Cache in each node = 1 MB For 64 nodes and 64-bit directory, Directory size = 4 GB For 64 nodes and 12-bit directory, Directory size = 0.75 GB





Block size = 128 B Memory in each node = 1 GB Cache in each node = 1 MB 6-bit storage in DRAM for each block; DRAM overhead = 0.375 GB

12-bit storage in SRAM for each block; SRAM overhead = 0.75 MB



Block size = 64 B L2 cache in each node = 1 MB L1 Cache in each node = 64 KB For 64 nodes and 64-bit directory, Directory size = 8 MB For 64 nodes and 12-bit directory, Directory size = 1.5 MB





#### Flat Cache-Based Directories



# Data Sharing Patterns

- Two important metrics that guide our design choices: invalidation frequency and invalidation size – turns out that invalidation size is rarely greater than four
- Read-only data: constantly read, never updated (raytrace)
- Producer-consumer: flag-based synchronization, updates from neighbors (Ocean)
- Migratory: reads and writes from a single processor for a period of time (global sum)
- Irregular: unpredictable accesses (distributed task queue)

# **Protocol Optimizations**



# SGI Origin 2000

- Flat memory-based directory protocol
- Uses a bit vector directory representation
- Two processors per node combining multiple processors in a node reduces cost



- Each memory block has seven states
- Three stable states: unowned, shared, exclusive (either dirty or clean)
- Three busy states indicate that the home has not completed the previous request for that block (read, read-excl or upgrade, uncached read)
- Poison state used for lazy TLB shootdown

- The system supports either a 16-bit or 64-bit directory (fixed cost)
- For small systems, the directory works as a full bit vector representation
- For larger systems, a coarse vector is employed each bit represents p/64 nodes
- State is maintained for each node, not each processor the communication assist broadcasts requests to both processors

- When the home receives a read request, it looks up memory (speculative read) and directory in parallel
- Actions taken for each directory state:
  - shared or unowned: memory copy is clean, data is returned to requestor, state is changed to excl if there are no other sharers
  - busy: a NACK is sent to the requestor
  - exclusive: home is not the owner, request is fwded to owner, owner sends data to requestor and home

# Inner Details of Handling the Read

- The block is in exclusive state memory may or may not have a clean copy – it is speculatively read anyway
- The directory state is set to busy-exclusive and the presence vector is updated
- In addition to fwding the request to the owner, the memory copy is speculatively forwarded to the requestor
  - Case 1: excl-dirty: owner sends block to requestor and home, the speculatively sent data is over-written
  - Case 2: excl-clean: owner sends an ack (without data) to requestor and home, requestor waits for this ack before it moves on with speculatively sent data

- Why did we send the block speculatively to the requestor if it does not save traffic or latency?
  - the R10K cache controller is programmed to not respond with data if it has a block in excl-clean state
  - when an excl-clean block is replaced from the cache, the directory need not be updated – hence, directory cannot rely on the owner to provide data and speculatively provides data on its own

- The home node must invalidate all sharers and all invalidations must be acked (to the requestor), the requestor is informed of the number of invalidates to expect
- Actions taken for each state:
  - shared: invalidates are sent, state is changed to excl, data and num-sharers is sent to requestor, the requestor cannot continue until it receives all acks (Note: the directory does not maintain busy state, subsequent requests will be fwded to new owner and they must be buffered until the previous write has completed)

Actions taken for each state:

- unowned: if the request was an upgrade and not a read-exclusive, is there a problem?
- Exclusive: is there a problem if the request was an upgrade? In case of a read-exclusive: directory is set to busy, speculative reply is sent to requestor, invalidate is sent to owner, owner sends data to requestor (if dirty), and a "transfer of ownership" message (no data) to home to change out of busy
- busy: the request is NACKed and the requestor must try again



#### Bullet