### Lecture 2: Snooping-Based Coherence

 3-state and 4-state snooping protocols, update protocol, implementation issues



Private L1 caches Shared L2 cache Bus between L1s and single L2 cache controller Snooping-based coherence between L1s



Private L1 caches Shared L2 cache, but physically distributed Scalable network Directory-based coherence between L1s



### Private L1 caches

Shared L2 cache, but physically distributed Bus connecting the four L1s and four L2 banks Snooping-based coherence between L1s



Private L1 caches Private L2 caches Scalable network Directory-based coherence between L2s (through a separate directory) A multiprocessor system is cache coherent if

- a value written by a processor is eventually visible to reads by other processors – write propagation
- two writes to the same location by two processors are seen in the same order by all processors – write serialization

### **Cache Coherence Protocols**

- Directory-based: A single location (directory) keeps track of the sharing status of a block of memory
- Snooping: Every cache block is accompanied by the sharing status of that block – all cache controllers monitor the shared bus so they can update the sharing status of the block, if necessary
- Write-invalidate: a processor gains exclusive access of a block before writing by invalidating all other copies
- Write-update: when a processor writes, it updates other shared copies of that block

- 3-state write-back invalidation bus-based snooping protocol
- Each block can be in one of three states invalid, shared, modified (exclusive)
- A processor must acquire the block in exclusive state in order to write to it – this is done by placing an exclusive read request on the bus – every other cached copy is invalidated
- When some other processor tries to read an exclusive block, the block is demoted to shared

# **Design Issues, Optimizations**

- When does memory get updated?
  - > demotion from modified to shared?
  - > move from modified in one cache to modified in another?
- Who responds with data? memory or a cache that has the block in exclusive state – does it help if sharers respond?
- We can assume that bus, memory, and cache state transactions are atomic – if not, we will need more states
- A transition from shared to modified only requires an upgrade request and no transfer of data
- Is the protocol simpler for a write-through cache?

- Multiprocessors execute many single-threaded programs
- A read followed by a write will generate bus transactions to acquire the block in exclusive state even though there are no sharers
- Note that we can optimize protocols by adding more states – increases design/verification complexity

- The new state is exclusive-clean the cache can service read requests and no other cache has the same block
- When the processor attempts a write, the block is upgraded to exclusive-modified without generating a bus transaction
- When a processor makes a read request, it must detect if it has the only cached copy – the interconnect must include an additional signal that is asserted by each cache if it has a valid copy of the block

- When caches evict blocks, they do not inform other caches – it is possible to have a block in shared state even though it is an exclusive-clean copy
- Cache-to-cache sharing: SRAM vs. DRAM latencies, contention in remote caches, protocol complexities (memory has to wait, which cache responds), can be especially useful in distributed memory systems
- The protocol can be improved by adding a fifth state (owner – MOESI) – the owner services reads (instead of memory)

- 4-state write-back update protocol, first used in the Dragon multiprocessor (1984)
- Write-back update is not the same as write-through on a write, only caches are updated, not memory
- Goal: writes may usually not be on the critical path, but subsequent reads may be

### 4 States

- No invalid state
- Modified and Exclusive-clean as before: used when there is a sole cached copy
- Shared-clean: potentially multiple caches have this block and main memory may or may not be up-to-date
- Shared-modified: potentially multiple caches have this block, main memory is not up-to-date, and this cache must update memory – only one block can be in Sm state
- In reality, one state would have sufficed more states to reduce traffic

- If the update is also sent to main memory, the Sm state can be eliminated
- If all caches are informed when a block is evicted, the block can be moved from shared to M or E – this can help save future bus transactions
- Having an extra wire to determine exclusivity seems like a worthy trade-off in update systems

## **State Transitions**

То	NP	- I	E		S		Μ	N	
From									
NP	0	0	1.25		0.96		1.68	St	
I.	0.64	0	0		1.87		0.002		
E	0.20	0	14.0		0.02		1.00		
S	0.42	2.5	0		134.7		2.24		
М	2.63	0.002	0		2.3		843.6		
То	NP	I	E		S		М		
From									
NP			BusRd		BusR	d BusRd		dX	
I			BusRd	ısRd		BusRd		BusRdX	
Е									
S			Not possible				BusUpgr		
М	BusWB	BusWB	Not possible		BusWB				

NP – Not Present

State transitions per 1000 data memory references for Ocean

> Bus actions for each state transition

# **Snooping – Basic Implementation**

- Assume single level of cache, atomic bus transactions
- It is simpler to implement a processor-side cache controller that monitors requests from the processor and a bus-side cache controller that services the bus
- Both controllers are constantly trying to read tags
  tags can be duplicated (moderate area overhead)
  unlike data, tags are rarely updated
  tag updates stall the other controller

- In a multiprocessor, memory has to wait for the snoop result before it chooses to respond – need 3 wired-OR signals: (i) indicates that a cache has a copy, (ii) indicates that a cache has a modified copy, (iii) indicates that the snoop has not completed
- Ensuring timely snoops: the time to respond could be fixed or variable (with the third wired-OR signal), or the memory could track if a cache has a block in M state

### **Non-Atomic State Transitions**

- Note that a cache controller's actions are not all atomic: tag look-up, bus arbitration, bus transaction, data/tag update
- Consider this: block A in shared state in P1 and P2; both issue a write; the bus controllers are ready to issue an upgrade request and try to acquire the bus; is there a problem?
- The controller can keep track of additional intermediate states so it can react to bus traffic (e.g.  $S \rightarrow M$ ,  $I \rightarrow M$ ,  $I \rightarrow S$ ,E)
- Alternatively, eliminate upgrade request; use the shared wire to suppress memory's response to an exclusive-rd

### Livelock

- Livelock can happen if the processor-cache handshake is not designed correctly
- Before the processor can attempt the write, it must acquire the block in exclusive state
- If all processors are writing to the same block, one of them acquires the block first – if another exclusive request is seen on the bus, the cache controller must wait for the processor to complete the write before releasing the block
   -- else, the processor's write will fail again because the block would be in invalid state

- What would it take to implement the protocol correctly while assuming a split transaction bus?
- Split transaction bus: a cache puts out a request, releases the bus (so others can use the bus), receives its response much later
- Assumptions:
  - > only one request per block can be outstanding
  - separate lines for addr (request) and data (response)

## **Split Transaction Bus**



## **Design Issues**

- When does the snoop complete? What if the snoop takes a long time?
- What if the buffer in a processor/memory is full? When does the buffer release an entry? Are the buffers identical?
- How does each processor ensure that a block does not have multiple outstanding requests?
- What determines the write order requests or responses?

- What happens if a processor is arbitrating for the bus and witnesses another bus transaction for the same address?
- If the processor issues a read miss and there is already a matching read in the request table, can we reduce bus traffic?



### Bullet